

# Introduction to the Knowledge Exchange Concordat



Welcome and thank you for joining us for today's webinar.

While waiting, please familiarise yourself with the following:

**Chat:** Feel free to introduce yourself here or share thoughts/comments with the other attendees. During the session, please submit questions to the panelists and hosts for **Professor Trevor McMillan** and **Dr Phil Clare** to discuss.

If you have any technology challenges, you can message us through the chat function and we will do our best to support.

We are looking forward to getting started in a few minutes!



# Introductions



**Greg Wade**, Universities UK

**Matthew Guest**, GuildHE

**Tim Shuttleworth**, Research England

**Rosalind Lowe**, NCUB

**Tamsin Mann**, PraxisAuril



# Concordat for the advancement of knowledge exchange in higher education



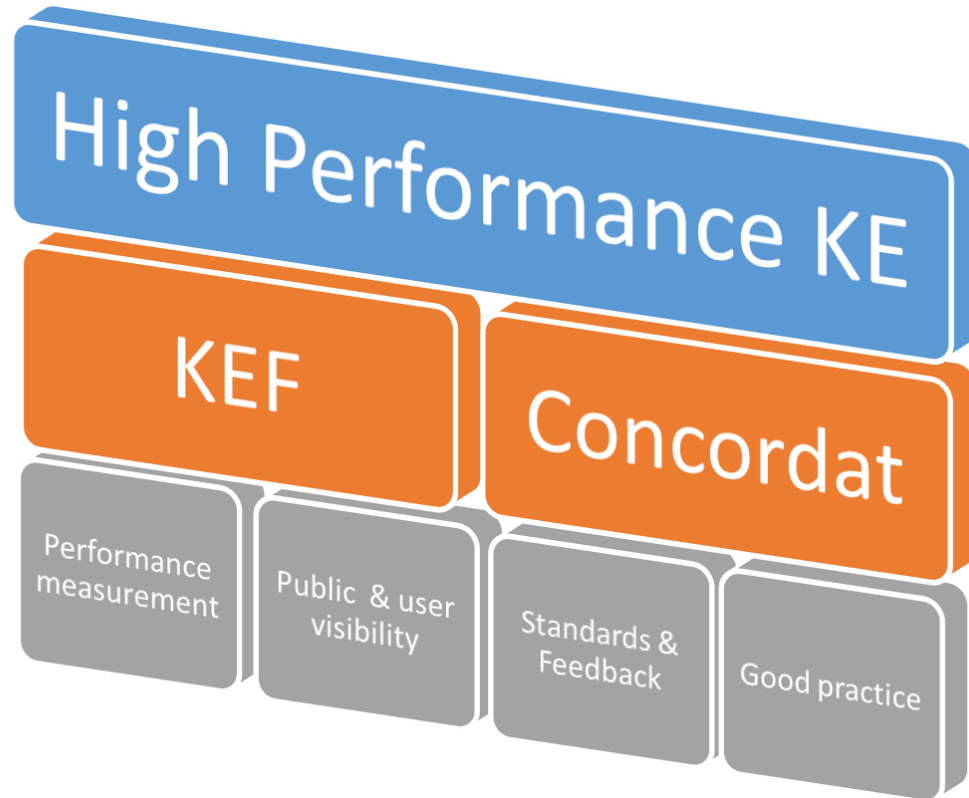
Never has it been so evident that  
Universities provide major ***social*** and  
***economic*** benefit

But we cannot take it for granted that this is always fully recognised, and it  
has never been more important that we make sure it is.

# Recommendations of the McMillan Report (2016)



- Leadership matters – clarity of purpose and approach
- Understanding eco-systems is important; and technology sector variations
- Continue support to our academic entrepreneurs,  
built on research impact
- Important to recognise the variety of approaches made by universities (one size does not fit all)
- Continue to develop our professional community of practice

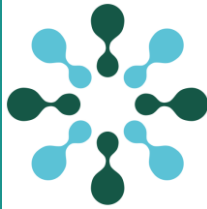


# Why?



- Give our staff a clarity of mission
- Give partners (business and investors – but also societal partners) an accurate representation of our strengths and weaknesses
- Demonstrate to government, funders our commitment to high standards
- Reduce inevitable tensions in negotiations
- Improve our efficiency and effectiveness, and impact
- Demonstrate our commitment to society/communities

# Implications for universities



*“I am pleased that Research England, in consultation with the Office for Students, will now be launching a full review of **HEIF**, undertaking a **radical reform work programme** over the next three years, including a fundamental review of the HEIF methodology. This reform plan will aim to put **KEF** at the heart of our approach, and embed the important work being done by Professor Trevor McMillan on the **Knowledge Exchange Concordat...**”*

Universities Minister to RE Exec Chair, Oct 2019





# Assurance for KE funding



- **KEF** – provides published evidence on institutional performance achievement
- **KE Concordat** – provides the means to continuously improve that performance
- **HEIF Accountability Statements** – provides assurance that public funds are used for purpose intended - and will deliver value for money, resting on above

# What should a KE Concordat aim to be?

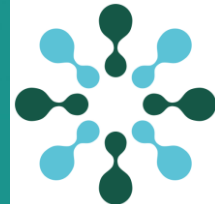


- A framework that facilitates relevant institutions to describe and evaluate what they do and how they do it in order to communicate their approaches to stakeholders and enable performance enhancement. Institutional context should be a key component.



# Concordat for the advancement of knowledge exchange in higher education

# THANK YOU



## **UUK/RE Task Group:**

**Sean Fielding**, University of Exeter

**Professor Richard Greene**, MMU

**Professor Emma Hunt**, Arts University  
Bournemouth

**Dr Gillian Murray**, Heriot-Watt University

**Dr Tony Raven**, University of Cambridge

**Professor Jerry Roberts**, University of  
Plymouth

**Professor Roderick Watkins**, Anglia Ruskin  
University

**Professor Nick Wright**, Newcastle University

**Mark Bacon, Claire O'Neil**, Keele University

**Joanna Allatt, Alice Frost, Tim Shuttleworth,**

**Hamish McAlpine**, Research England

**Charlie Coyte, Myles Hanlon, Greg Wade**, UUK

# The eight guiding principles



**Principle 1: Mission**

**Principle 2: Policies and processes**

**Principle 3: Engagement**

**Principle 4: Working transparently and ethically**

**Principle 5: Capacity building**

**Principle 6: Recognition and rewards**

**Principle 7: Continuous improvement**

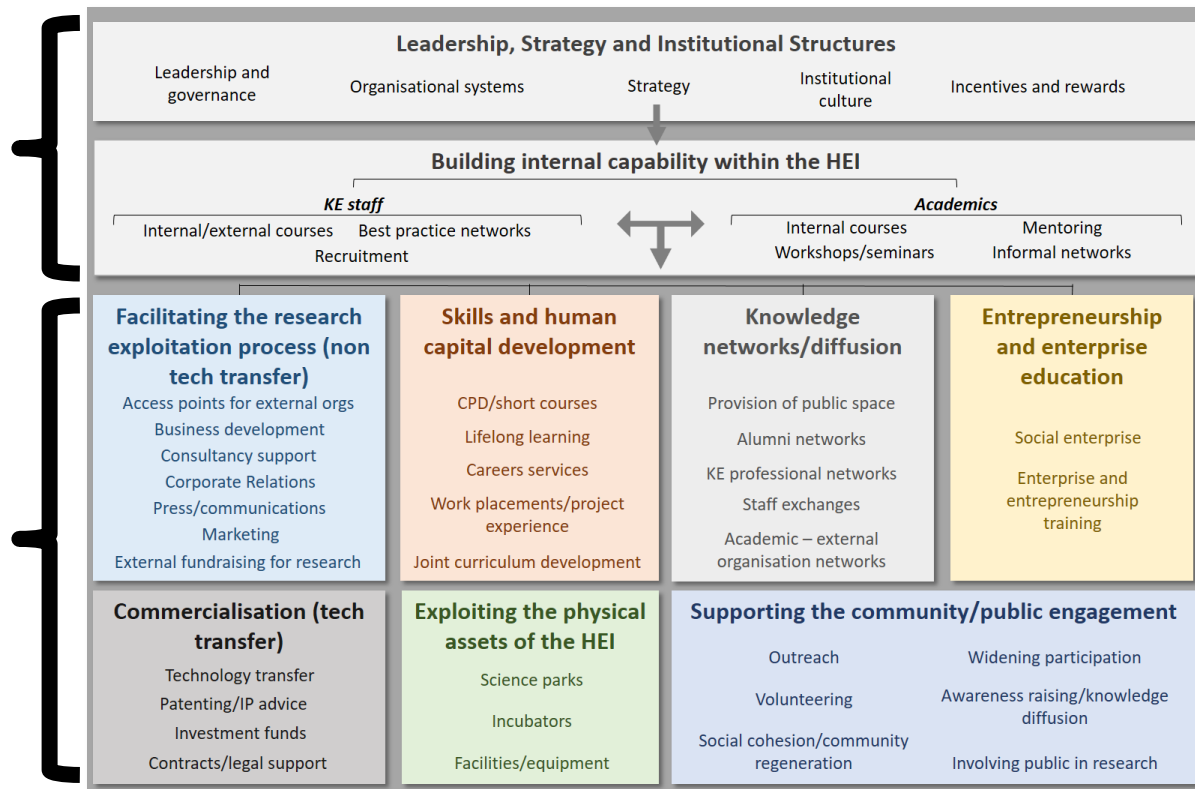
**Principle 8: Evaluating success**

# KE Concordat and KEF together



How are you doing it  
(and how are you  
getting better)?

What are you doing?





## ***You keep the royalties on IP, Sydney institution tells companies***

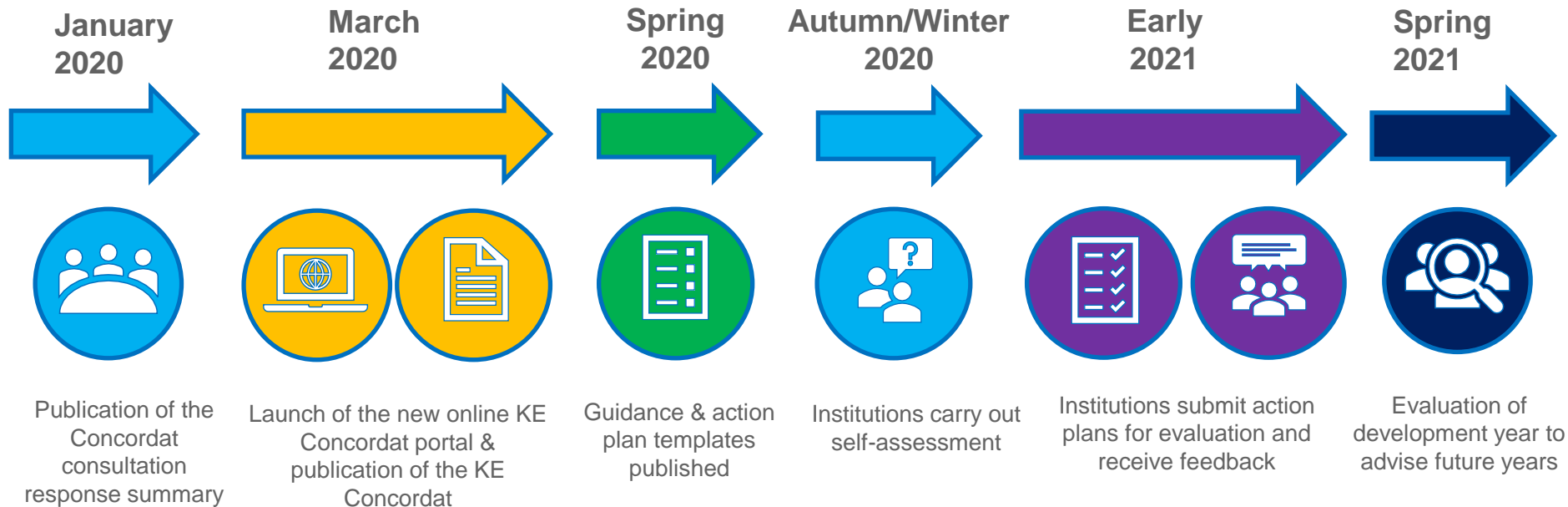
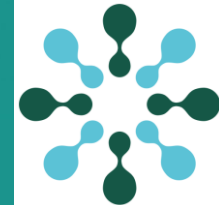
*Commercial entanglements' a deadweight on prosperity, Australian university insists*

*Times Higher Education: January 30, 2020*

The [University of Technology Sydney](#) has decided that its earnings from industry partnerships will be limited to contracts for the initial research.

*Group of Eight ... although commercialisation might not work for less research-intensive universities, it was “very much part of our core business model” – particularly for Sydney, Melbourne, Monash and Queensland universities, the last being the developer of the Gardasil cervical cancer vaccine.*

# KE Concordat – What were intended to be the next steps (Development Year)





# Current thinking



- Request to sign up to Concordat and its principles – summer 2020
- Panel ready to receive first submissions of self-evaluation and action plan April-July 2021 (NB Submission period rather than strict deadline)
- **Would this work for you?**

# *Our Future*

A Strategic Vision for Keele University



# Developing the building blocks at institutional level

**Purpose**

**People**

**Partners**

**Performance**

**Policies**

**Place**

**Plans**



# Phil Clare

Deputy Director, Research Services  
University of Oxford

Chair Designate  
KE Concordat Evaluation Panel

# Two hats



- University KE Leader

  - Deadline, Criteria, Process

  - Sign up to Concordat

  - How to conduct self-assessment

  - Drafting the Action Plan

  - Submission

- Panel Chair

  - Deadline, Criteria, Process

  - What will I (we) be reading?

  - How will we be evaluating?

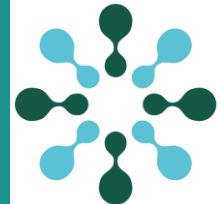


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- Process for signing up to the Concordat
  - Which committee?
  - What is the explanation?
  - How do I get cooperation?
- Self-assessment
  - Working groups – one or several? (8??)
  - Membership of groups?
  - Senior Leadership?
- Action Plan
  - Who drafts, who approves?

# Timescale - University



Submission – Summer 2021?

Approval – June 2021

Action plan drafting April May 2021

So self-assessment ready for Easter

Conducted Spring term

So plan approved before Christmas

Plan drafted and group assembled Michaelmas term

Need to get the Concordat approved by September

Better get cracking then...

# Panel chair hat



- How do we make sure the panel is representative of:
  - Different types of university
  - Different perspectives of KE
  - Different geographies
  - External perspectives of University KE
  - Sufficient diversity in membership



# Criteria



- What makes a good action plan?
- Realistic/ambitious/achievable?
- Rooted in sound self-assessment?
- Appropriate enablers?
- Evidence of institutional commitment?
- Resource plan?
- Judged against institutional context not national average?

# Panel Timescale



- How long do we have to read them, judge and report?
- How long will each response be?
- Will this be an annual exercise?
- This is a pilot –

How do we deliver appropriate feedback?

How do we take account of what we learn for future exercises?

# What do you think?



- This process is still being developed
- This is the beginning of a series of consultative seminars
- Answers on a postcard (i.e. short and quick)
- Questions